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Patentanmeldung Nr. Patent application No. Demande de brevet n°

01102582.2

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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
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**METHOD FOR RECOGNIZING SPEECH WITH NOISE-DEPENDENT  
VARIANCE NORMALIZATION**

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## Description

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1 The present invention relates to a method for recognizing speech and more particularly to a method for recognizing speech which uses noise-dependent variance normalization.

5 Methods for recognizing speech can generally be subdivided into the sections of inputting or receiving a speech signal, preprocessing said speech signal, a process of recognition and a section of outputting a recognized result.

Before the step of recognizing a speech signal said speech signal is generally  
10 preprocessed. Said preprocessing section comprises for instance a step of digitizing an incoming analogue speech signal, a step of filtering and/or the like.

Additionally, it has been found that including a step of variance normalization  
15 of the received speech signal, a derivative and/or a component thereof can in some cases increase the recognition rate in the following recognition section, but not in all cases.

It is therefore an object of the present invention to provide a method for  
20 recognizing speech in which a variance normalization step is applicable in a particular simple and robust way.

The object is achieved by a method for recognizing speech with the features as set forth in claim 1. Preferred embodiments of the inventive method for  
25 recognizing speech are within the scope of the dependent subclaims.

The proposed method for recognizing speech comprises a preprocessing section in which a step of performing variance normalization is applicable to a given or received speech signal, derivative and/or to a component thereof. According to  
30 the invention the preprocessing section of the proposed method for recognizing speech comprises a step of performing a statistical analysis of said speech signal, a derivative and/or of a component thereof, thereby generating and/or providing statistical evaluation data. From the so derived statistical evaluation data the inventive method generates and/or provides normalization degree data. Additionally, the inventive method for recognizing speech comprises in

- 1 its preprocessing section a step of performing a variance normalization on said  
speech signal, a derivative and/or on a component thereof in accordance with  
said normalization degree data - in particular with a normalization strength  
corresponding to said normalization degree data - with normalization degree  
5 data having a value or values in the neighbourhood of 0 indicating that no  
variance normalization has to be performed.

- It is therefore an essential idea of the present invention not to perform a  
variance normalization in all cases of received or input speech signals but to  
10 decide to what degree a variance normalization has to be carried out on the  
speech signal, a derivative and/or on a component thereof in dependence on a  
statistical analysis of said speech signal and/or of said derivative or compo-  
nent thereof. To control the extent of the variance normalization, normalization  
degree data are derived from said statistical evaluation data coming out from  
15 the statistical analysis, wherein normalization degree data being zero or lying  
in the vicinity of zero implying that no variance normalization has to be per-  
formed.

- In contrast to prior art methods for recognizing speech employing variance  
20 normalization the inventive method for recognizing speech uses a variance nor-  
malization, the extent of which is dependent on the quality of the received or  
input speech signal or the like. By this measure disadvantages of prior art  
methods can be avoided. Variance normalization is applied to an extent which  
is advantageous for the recognition rate. Therefore, variance normalization is  
25 adapted with respect to the noise level being represented by the statistical  
evaluation data and being converted into the variance normalization degree  
data.

- Of course, said statistical analysis can be carried out on the speech signal  
30 and/or on the derivative or component thereof in its entirety. In some cases it  
is of particular advantage to perform said statistical analysis in an at least  
piecewise or partially frequency-dependent manner. For instance the received  
and/or input speech signal and/or the derivative or component thereof may be  
subdivided in frequency space in certain frequency intervals. Each frequency  
35 component or frequency interval of the speech signal and/or of its derivative or  
component may independently be subjected to the process of statistical  
analysis yielding different statistical evaluation data for the different and

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- 1 distinct frequency components or intervals.

The same holds for the generation and provision of statistical evaluation data and/or for the generation and provision of said normalization degree data.

- 5 They may also be generated and provided for the received and input speech signal and/or for the derivative or component thereof in its entirety. But it may be again of particular advantage to use said frequency decomposition or its decomposition into frequency intervals.
- 10 The particular advantage of the above discussed measures lies in the fact that different frequency ranges of the speech signal may be subjected to different noise sources. Therefore, in particular in the case of a non-uniform noise source, different frequency components of the input or received speech signal may have different noise levels and they may therefore be subjected to
- 15 different degrees to the process of variance normalization.

Said statistical analysis may preferably include a step of determining signal-to-noise ratio data or the like. This may be done again in particular in a frequency-dependent manner.

20

- According to a further preferred embodiment of the inventive method for recognizing speech a set of discrete normalization degree values is used as said normalization degree data. In particular, each of said discrete normalization degree values is assigned to a certain frequency interval, and said
- 25 frequency intervals may preferably have no overlap.

- It is of particular advantage to use discrete normalization degree values which are situated in the interval of 1 and 0. According to another preferred embodiment of the inventive method for recognizing speech a normalization degree
- 30 value in the neighbourhood of 0 and/or being identical to 0 indicates that the process of variance normalization has to be skipped for the respective assigned frequency interval. That means, that the respective speech signal and/or the derivative or component thereof is an almost undisturbed signal for which a variance normalization would be disadvantageous with respect to the following
- 35 recognition process.

In a similar way it is of particular advantage to assign in each case to a



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- 1 normalization degree value in the neighbourhood of 1 a maximum performance of the variance normalization for the respective assigned frequency interval.

For the generation of the normalization degree data from the statistical evaluation data, and in particular for the generation of the normalization degree values, it is preferred to use transfer functions between statistical evaluation data and said normalization degree data or normalization degree values.

- 10 These transfer functions may include the class of piecewise continuous, continuous or continuous-differentiable functions or the like, in particular so as to achieve a smooth and/or differentiable transition between said statistical evaluation data and said normalization degree data and/or said normalization degree values.

15

Preferred examples for said transfer functions are theta-functions, sigmoidal functions, or the like.

- 20 A preferred embodiment for carrying out said variance normalization per se is a multiplication of said speech signal and/or of a derivative or component thereof with a so-called reduction factor R which is a function of the signal noise and/or of the normalization degree data or normalization degree values. Again, this may include the frequency dependence with respect to certain frequency values and/or certain frequency intervals.

25

A particular preferred example for said reduction factor R - which may be again frequency-dependent - is

$$R = 1 / (1 + (\sigma - 1) \cdot D)$$

30

with  $\sigma$  denoting the temporal standard deviation of the speech signal, its derivative or component, and/or its feature. In this structure D denotes the normalization degree value, which again may also be frequency-dependent.

- 35 The features and benefits of the present invention may become more apparent from the following remarks:

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1 In automatic speech recognition the preprocessing step with respect to the input speech data is of crucial importance in order to achieve low word error rates and high robustness against background noise, in particular with respect to the following recognition process.

5

One particular preprocessing step - the so-called variance normalization - has been found to improve the recognition rate in some cases, but not in all situations.

10 It is therefore the key idea of the present invention to apply variable levels of variance normalization, the levels being dependent for instance on the amount of background noise found in the speech data.

The invention therefore manages the situation that variance normalization  
15 works well when applied to noisy data but deteriorates the recognition rate when applied to undisturbed input data.

The proposed method - and in particular the preprocessing section of the method - may be realized in a two-step procedure with a first step carrying out  
20 the determination or measurement of the noise within the input data, in particular of the signal-to-noise ratio (SNR), and the second step comprising the application of a SNR-dependent variance normalization to the input data.

For the first step either external data from for instance a second microphone  
25 and/or from knowledge about the application and/or from single-channel estimation methods can be used. The exact way of determining the signal-to-noise ratio does not affect the way and the result of the method. There has been extensive work on the field of SNR-estimation in the past, and any of the known procedures or algorithms in this field might be used in the context of  
30 this invention.

The second step, namely the application of SNR-dependent variance normalization - the degree of variance normalization  $D$ , which may range from 0 to 1 - is determined by employing for instance a transfer function between the SNR-  
35 estimate and  $D$ . As the optimal analytical form of the transfer function and therefore of  $D$  is not yet determined or known, natural choices may be included for said determination, in particular the theta-function which effec-

1 tively switches variance normalization off in the case of clean or undisturbed  
data and which switches variance normalization to its maximum for distorted  
input may be used. Another choice may be the class of sigmoidal function  
which provides the smooth and differentiable transition or interpolation  
5 between the case of no variance normalization and the maximum variance nor-  
malization.

The variance normalization itself can easily be computed by dividing the input  
data by  $(1 + (\sigma - 1) \cdot D)$ .  $\sigma$  denotes the standard deviation of the input features  
10 over time. In contrast, conventional method simply divide the input features by  
 $\sigma$  without taking into account the normalization degree  $D$ .

In the proposed method the input data can have an arbitrary representation  
for example short-time spectral or cepstral parameters. The standard deviation  
15 of the input features can be computed in an arbitrary way, for example using  
the current speech recording. It has been observed that standard variance  
normalization is more effective if the standard deviation estimate  $\sigma$  is com-  
puted on more than one utterances of speech from a given speaker. The pro-  
posed method is independent from the way of deriving  $\sigma$  and hence the method  
20 can be used even in the case where  $\sigma$  has to be iteratively adapted, whenever  
new speech is input into the system.

The invention will now be described in more detail taking reference to  
accompanying Figures on the basis of preferred embodiments of the inventive  
25 method for recognizing speech.

**Fig. 1** is a schematical block diagram giving an overview over the  
inventive method for recognizing speech according to the  
present invention.

30

**Fig. 2** is a schematical block diagram describing in more detail the  
preprocessing section of the embodiment of the inventive  
method shown in Fig. 1.

35 As shown in the schematical block diagram of Fig. 1 the inventive method for  
recognizing speech is generally composed by a first step S1 of inputting and/or  
receiving a speech signal S. In the following step S2 said speech signal S and/

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- 1 or derivatives S' or components thereof are preprocessed. In the following step S3 the output of the preprocessing section S2 is subjected to a recognition process S3.
- 5 Finally, in the last step S4 the recognition result is output.

The schematical block diagram of Fig. 2 elucidates in more detail the steps of the preprocessing section S2 of the embodiment shown in Fig. 1.

- 10 In general, the received or input speech signal S is of analogue form. Therefore, in step S10 of the preprocessing section S2 said analogue speech signal S is digitized.

- Following the digitizing step S10 the speech signal S and/or derivatives S' or
- 15 components thereof are subjected to a statistical evaluation in step S11 so as to provide and generate statistical evaluation data ED.

- Based on the so generated statistical evaluation data ED, which may contain a value for the signal-to-noise ratio SNR, normalization degree data ND and/or
- 20 normalization degree values Dj are derived in step S12 as a function of said statistical evaluation data ED.

- Then conventionally, further preprocessing steps may be performed as indicated by section S13.

- 25 Finally, in step S14 with substeps 14a and 14b a process of variance normalization VN is applied to said speech signal S and/or to derivatives S' and components thereof. The degree and/or the strength of the variation normalization VN is dependent on and/or a function of the normalization degree data ND and/or of the normalization degree values Dj being generated in step S12. The
- 30 variance normalization VN is performed in step 14b if according to the condition of step 14a the value or values for said normalization degree data ND, Dj are not in a neighbourhood of 0.

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## Claims

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- 1    1.    Method for recognizing speech,  
         wherein in a preprocessing section (S2) a step of performing a variance  
         normalization (VN) is applicable to a given or received speech signal (S) and/or  
         to a derivative (S') thereof, said preprocessing section comprising the steps of:
- 5           - performing a statistical analysis (S11) of said speech signal (S) and/or  
         of a derivative (S') thereof, thereby generating and/or providing statistical  
         evaluation data (ED),  
         - generating and/or providing normalization degree data (ND) from said  
         statistical evaluation data (ED), and
- 10          - performing a variance normalization (VN) on said speech signal (S), a  
         derivative (S') and/or on a component thereof in accordance with said  
         normalization degree data (ND) - in particular with a normalization strength  
         corresponding to said normalization degree data (ND) - with normalization  
         degree data having a value or values in a neighbourhood of 0 indicating that
- 15          no variance normalization (VN) has to be performed.
2.    Method according to claim 1,  
         wherein said statistical analysis (S11) is performed in an at least piece-  
         wise or partial frequency-dependent manner.
- 20          3.    Method according to anyone of the preceding claims,  
         wherein said evaluation data (ED) and/or said normalization data (ND)  
         are generated so as to reflect at least a piecewise frequency dependency.
- 25          4.    Method according to anyone of the preceding claims,  
         wherein said statistical analysis (S11) includes a step of determining  
         signal-to-noise ratio data (SNR) or the like, in particular in a frequency-  
         dependent manner.
- 30          5.    Method according to anyone of the preceding claims,  
         wherein a set of discrete normalization degree values (Dj) is used as said  
         normalization degree data (ND), in particular each of which being assigned to a  
         certain frequency interval (fj, Δfj), said intervals (fj, Δfj) having essentially no  
         overlap.

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- 1    6.    Method according to claim 5,  
         wherein each of said discrete normalization degree values ( $D_j$ ) has a  
         value within the interval of 0 and 1.
- 5    7.    Method according to anyone of the preceding claims,  
         wherein in each case a normalization degree value ( $D_j$ ) in the neighbour-  
         hood of 0 indicates to skip any variance normalization (VN) for the respective  
         assigned frequency interval ( $f_j, \Delta f_j$ ).
- 10   8.    Method according to anyone of the preceding claims,  
         wherein in each case a normalization degree value ( $D_j$ ) in the neighbour-  
         hood of 1 indicates to perform a maximum variance normalization (VN) for the  
         respective assigned frequency interval ( $f_j, \Delta f_j$ ).
- 15   9.    Method according to anyone of the preceding claims,  
         wherein a transfer function between said statistical evaluation data (ED)  
         and said normalization degree data (ND) is used for generating said normali-  
         zation degree data (ND) from said statistical evaluation data (ED).
- 20   10.   Method according to claim 9,  
         wherein a piecewise continuous, continuous or continuous differentiable  
         function or the like is used as said transfer function, so as to particularly  
         achieve a smooth and/or differentiable transfer between said statistical  
         evaluation data (ED) and said normalization degree data (ND).
- 25   11.   Method according to anyone of claims 9 or 10,  
         wherein a theta-function, a sigmoidal function or the like is employed as  
         said transfer function.
- 30   12.   Method according to anyone of the preceding claims,  
         wherein said variance normalization (S14) is carried out by multiplying  
         said speech signal (S), a derivative ( $S'$ ) and/or a component thereof with a  
         reduction factor (R) being a function of said statistical evaluation data (ED), in  
         particular of the signal noise, and the normalization degree data (ND), in  
35   particular of the normalization degree values ( $D_j$ ) and/or in particular in a  
         frequency-dependent manner.

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1    **13.**    Method according to anyone of the preceding claims,  
          wherein a reduction factor (R) is used having the - in partiular  
          frequency-dependent - form

$$5 \quad R = 1 / (1 + (\sigma - 1) \cdot D)$$

with  $\sigma$  denoting the temporal standard deviation of the speech signal (S), its derivative (S'), a component and/or a feature thereof and D denotes the normalization degree value in question.

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**Abstract****Method for Recognizing Speech with  
Noise-Dependent Variance Normalization**EPO - Munich  
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As the application of a variance normalization (VN) to a speech signal (S) may be advantageous as well as disadvantageous with respect to the recognition rate in a speech recognizing process in dependence of the degree of the signal disturbance it is suggested to calculate a degree (ND) of variance normalization strength in dependence of the noise level of the signal, thereby skipping the step of variance normalization in the case of an undisturbed or clean signal.

(Fig. 2)

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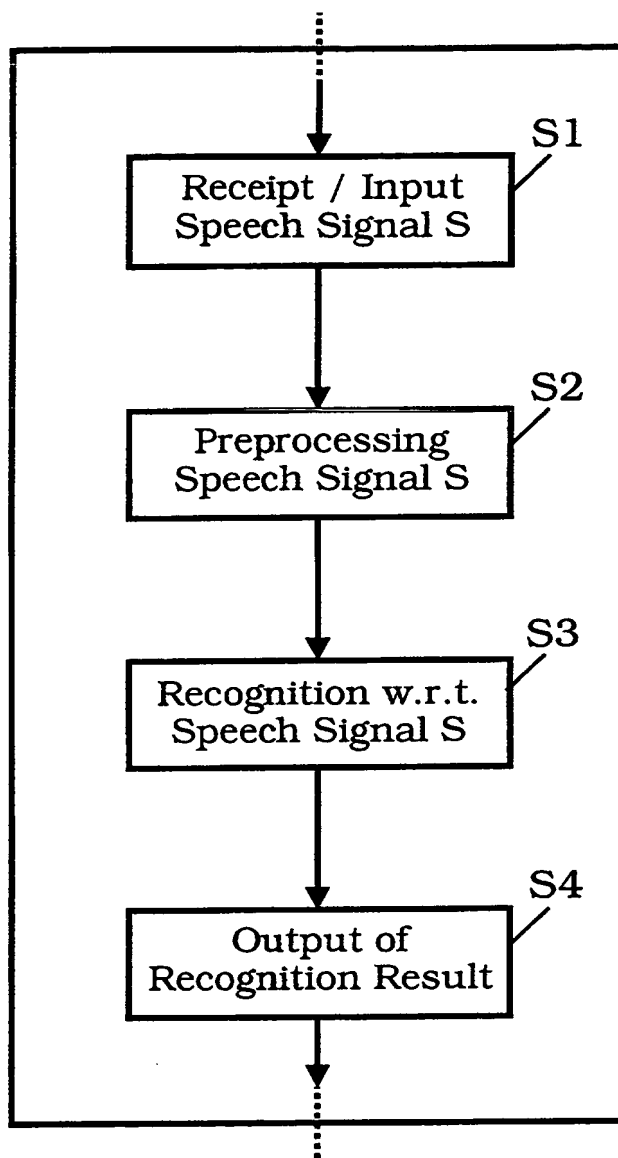
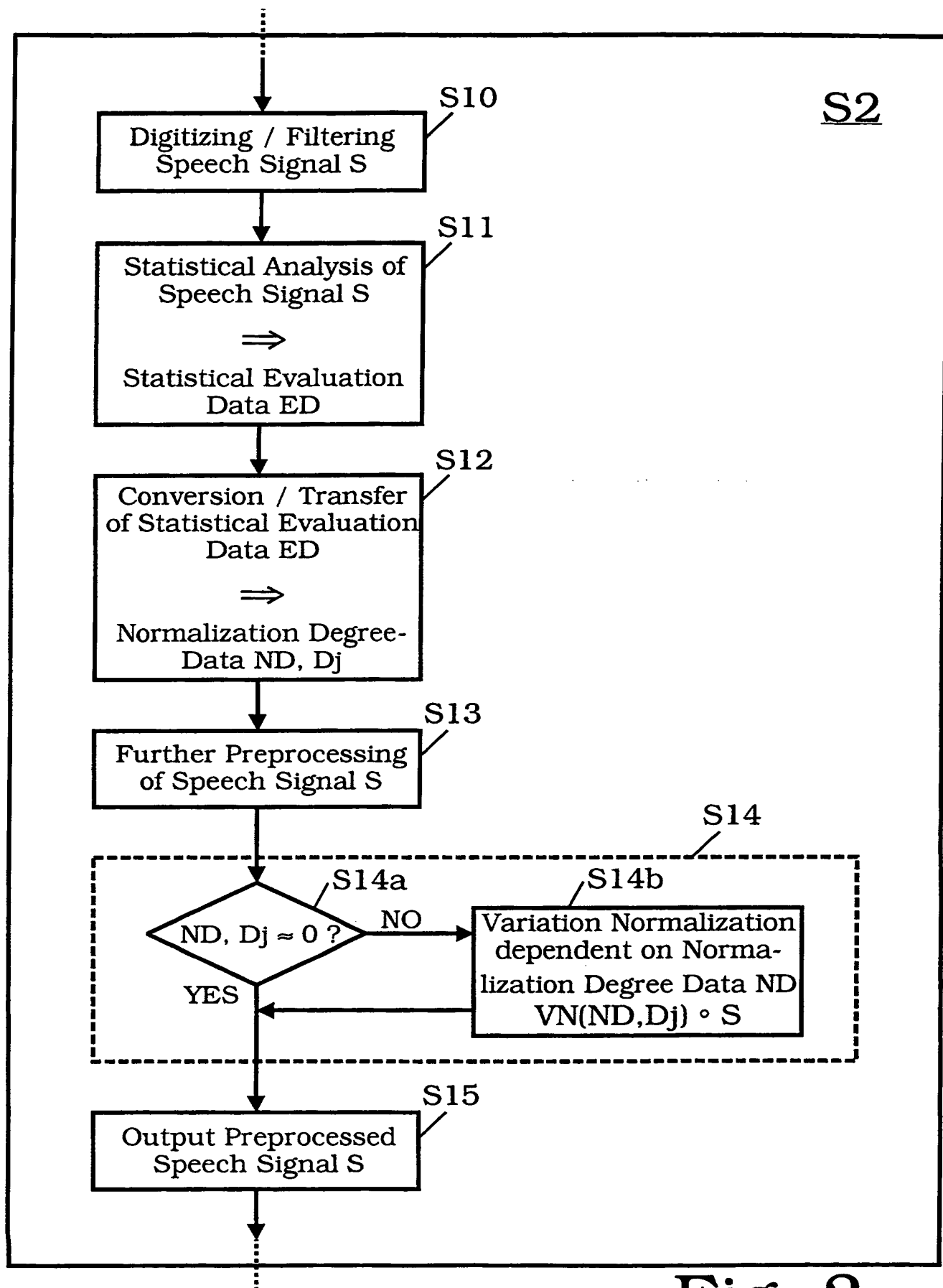


Fig. 1

**Fig. 2**